

JOHN A. LAHIVE, JR. (1928-1997) THOMAS V. SMURZYNSKI RALPH A. LOREN GIULIO A. DeCONTI, JR. ANN LAMPORT HAMMITTE ELIZABETH A. HANLEY AMY BAKER MANDRAGOURAS ANTHONY A. LAURENTANO KEVIN J. CANNING JANE E. REMILLARD DAVID A. LANE, JR. JEANNE M. DIGIORGIO DEBRA J. MILASINCIC, Ph.D NICHOLAS P. TRIANO, III TIMOTHY J. DOUROS WILLIAM D. DeVAUL CATHERINE J. KARA, Ph.D.

CHI SUK KIM DAVID J. RIKKERS DAVID R. BURNS

SENIOR COUNSEL W. HUGO LIEPMANN JAMES E. COCKFIELD

OF COUNSEL JEREMIAH LYNCH WILLIAM A. SCOFIELD, JR. PETER C. LAURO \* Deann Foran Smith\*

Admitted in NY only \*\* Admitted in MI only

PATENT AGENTS MEGAN E. WILLIAMS, Ph.D.

**TECHNICAL SPECIALISTS** MARIA LACCOTRIPE ZACHARAKIS, Ph.D. CYNTHIA M. SOROOS PETER W. DINI, Ph.D. JENNIFER L. ELLIOTT, Ph.D. LAUREN C. FOSTER, D.Sc. **EUIHOON LEE** ERICK B. BERGLUND, Ph.D. LISA M. DIROCCO JENNIFER K. ROSENFIELD

May 12, 2000

**BOX MISSING PARTS Assistant Commissioner for Patents** Washington, D.C. 20231

Re:

U. S. Patent Application No. 09/493,803

"Tissue-Specific Expression of Target

Genes in Plants"

Inventor: Allen G. Good Filing Date: January 28, 2000 Attorney Docket No. AGZ-002

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- Response to Notice to File Missing Parts of Nonprovisional Application; 1.
- 2. Executed Declaration and Power of Attorney:
- 3. Two Executed Verified Statements Claiming Small Entity Status;
- Copy of Notice to File Missing Parts of Application (Form PTO-1533); and
- Request for Correction of Filing Receipt;
- Copy of Filing Receipt with correction marked in red; and
- Check totaling \$1,538.00 (\$1,473.00 for filing fees and \$65.00 for surcharge); and 7.
- 8. Pre-paid acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

### Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 2023! on:

May 12, 2000

Date

Williams

Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Registration No. 43,270

Agent for Applicant

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Allen G. Good

Serial No.: 09/493,803

Filing Date: January 28, 2000

For: Tissue-Specific Expression of Target

Genes in Plants

Attorney Docket No.: AGZ-002

Group Art Unit: 1649

Examiner: Not Yet Assigned



BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

### Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

May 12, 2000 By:

Date of Signature and of Mail Deposit

Elizabeth A. Hanley, Esq./ Registration No. 33, 505

Attorney for Applicant

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Application dated March 13, 2000, Applicant's attorney submits herewith an *executed* Declaration and Power of Attorney, two *executed* Verified Statements Claiming Small Entity Status, a Request for Correction of Filing Receipt, a copy of Filing Receipt with corrections marked in red and a check in the amount of \$1,538.00 (e.g., \$1,473.00 for filing fee(s) and \$65.00 surcharge) based on small entity status. A copy of Form PTO-1533 is also enclosed.

U.S. Serial No. 09/493,803 -2- Group Art Unit: 1649

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16

and 1.17 during the pendency of this application or credit any overpayment to Deposit Account

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080.

Respectfully submitted,

Elizabeth A. Hanley, Esq. Registration No. 33,505

Attorney for Applicant

LAHIVE & COCKFIELD, LLP 28 State Street Boston, MA 02109 Tel. (617) 227-7400

Dated: May 12, 2000

No. 12-0080.



# FORMALITIES LETTER \*OC00000004989167\*



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/493,803

01/28/2000

ALLEN G. GOOD

AGZ-002

LAHIVE & COCKFIELD 28 STATE STREET BOSTON, MA 02109



Date Mailed: 03/13/2000

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2178.
  - \$774 for 43 total claims over 20.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2998.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/17/2000 NLUANG 00000053 09493803

01 FC:201 345.00 QP 02 FC:205 65.00 QP 03 FC:203 387.00 QP 04 FC:202 741.00 QP